

Attorney Docket No. 8325-0002.01 (S2-US4)

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 6/12/03.

By: 

Yang Tang

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
JUN 18 2003  
TECH CENTER 1600/2900

In re application of COX III et al.

Serial No.: 09/897,844

Examiner: BRUSCA, JOHN S

Confirmation No.: 2083

Art Unit: 1631

Filed: July 2, 2001

For: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING  
ZINC FINGER PROTEINS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ [X] In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ [ ] In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ [ ] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ [ ] a statement as specified in 37 C.F.R. §1.97(e).
- ☐ [ ] In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ [ ] Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☒ [X] A return receipt postcard is also enclosed.



☐ Please charge \$\_\_\_ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 12 June 03

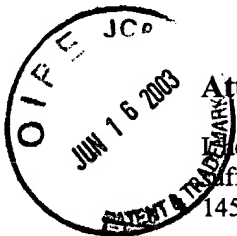
Cooley Godward LLP  
ATTN: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663

Respectfully submitted,  
COOLEY GODWARD LLP

By:

*D. Pasternak*  
Dahna S. Pasternak  
Reg. No. 41,411





Attorney Docket No. 8325-0002.01 (S2-US4)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 6/12/03.

By: Yang Tang

Yang Tang

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of COX III et al.

Serial No.: 09/897,844

Examiner: BRUSCA, JOHN S

Confirmation No.: 2083

Art Unit: 1631

Filed: July 2, 2001

For: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING ZINC FINGER PROTEINS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, copies of the publications C33, C54, C69, C116, C126 cited in the attached Form PTO-1449 are enclosed.
- ☒ No copies of the publications A1-37, B1-26, C1-32, C34-53, C55-68, C70-115, C117-125, C127-158 listed on the attached Form PTO-1449 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/229,037 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO-1449 were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.

1631  
K.T. #12  
6/19/03  
PATENTRECEIVED  
JUN 18 2003  
TECH CENTER 1600/2901



- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_\_ cited in the attached Form PTO-1449.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO-1449 to the undersigned.

Dated: 12 June 03

Cooley Godward LLP  
ATTN: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663

Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

*Dahna S. Pasternak*

Dahna S. Pasternak  
Reg. No. 41,411